

Biography

Opening Remarks



WU Shicun
President

National Institute for South China Sea Studies

Chair of Advisory Board

Institute for China-America Studies

WU Shicun has a PhD in history and is president and senior research fellow of China's National Institute for South China Sea Studies, chairman of the board of directors of China-Southeast Asia Research Center on the South China Sea, vice president of the Institute of Free Trade Ports of Chinese Characteristics, deputy director of the Collaborative Innovation Center of South China Sea Studies, Nanjing University, and vice president of Boao Forum for Asia Institute.

Dr. Wu's research interests cover the history and geography of the South China Sea, maritime delimitation, maritime economy, international relations, regional security strategy, and theory and practice of Free Trade Ports. His main single-authored books include *What One Needs to Know about the South China Sea* (Current Affairs Press, 2016), *What One Needs to Know about the Disputes between China and the Philippines* (Current Affairs Press, 2014), *Solving Disputes for Regional Cooperation and Development in the South China Sea: A Chinese perspective* (Chandos Publishing, 2013). His main edited books include: *South China Sea Lawfare: Post-Arbitration Policy Options and Future Prospects* (South China Sea Think Tank / Taiwan Center for Security Studies, 2017), *Arbitration Concerning the South China Sea: Philippines versus China* (Ashgate, 2016), *UN Convention on the Law of the Sea and the South China Sea* (Ashgate, 2015), *Non-Traditional Security Issues and the South China Sea-Shaping a New Framework for Cooperation* (Ashgate, 2014), *Recent Developments in the South China Sea Dispute: The Prospect of a Joint Development Regime* (Routledge, 2014), *Securing the Safety of Navigation in East Asia—Legal and Political Dimensions* (Chandos Publishing, 2013). Dr. Wu has published widely in academic journals and been the subject of frequent media interviews as a senior commentator on South China Sea, and other regional security issues.



Biography

Gordon HOULDEN

Director

China Institute, University of Alberta and Former Director General of the East Asia Bureau of Canada's Department of Foreign Affairs and International Trade

Gordon Houlden is the Director of the China Institute, Professor of Political Science and Adjunct Professor of the Alberta School of Business at the University of Alberta. He is also an Adjunct Professor at the National Institute for South China Sea Studies. He was born in Calgary, Alberta, and attended the University of Calgary where he received his B.A., and subsequently did graduate work at Carleton University in Ottawa, and at the University Nacional in Lima, Peru.

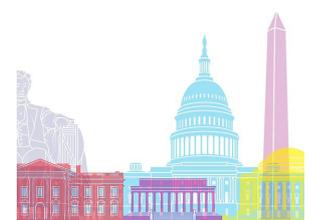
Houlden joined the Canadian Foreign Service in 1976. Abroad, he has been posted to Havana, Hong Kong (twice), Warsaw, Beijing (twice - most recently as Minister 2001-2004), and as Executive Director of the Canadian Trade Office in Taipei (2004-2006). While a Foreign Service officer, Prof. Houlden studied at the Chinese University of Hong Kong (1981-1983), and at the National Defence College in Kingston (1992-1993). Twenty-two of his years in the Canadian foreign service were spent working on Chinese affairs for the Government of Canada. His last assignment before joining the University of Alberta in 2008 was as Director General of the East Asian Bureau of the Department of Foreign Affairs and International Trade.



LIU Yawei

Director, China Program, Carter Center, and author of numerous works on China's political developments and grassroots democracy

Yawei Liu joined the Carter Center in 1998 and has been the director of its China Program since 2005. Liu is the associate director of the China Research Center in Atlanta. He is also an adjunct professor of Political Science at Emory University. Liu has been a member of the Council on Foreign Relations since 2014, and he is the main organizer of The Carter Center Forum on US-China Relations, which was launched in 2012. Liu is the founding editor of www.chinaelections.org, which went online in 2002. Other websites Liu founded and oversees are www.uscnpm.org and www.uscnpm.com. He earned his BA in English literature from Xian Foreign Languages Institute (1982), M.A. in recent U.S. history from the University of Hawaii (1989) and Ph.D. in American diplomatic history from Emory University (1996).



Biography

Panel I: Risks of U.S.-China Strategic Cooperation

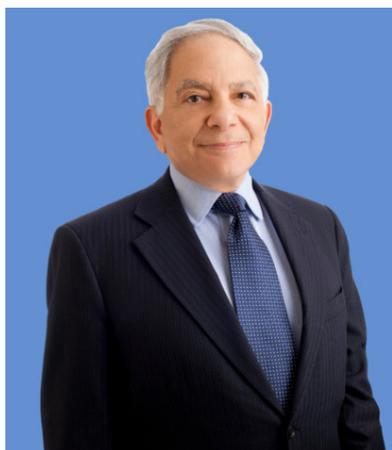


Michael SWAINE

Senior Fellow, Carnegie Endowment for International Peace, and one of America's foremost analysts of China's foreign and security policies

Michael Swaine is a senior fellow at the Carnegie Endowment for International Peace and one of the most prominent American analysts in Chinese security studies. Formerly a senior policy analyst at the RAND Corporation, Swaine is a specialist in Chinese defense and foreign policy, U.S.-China relations, and East Asian international relations.

He has authored and edited more than a dozen books and monographs and many journal articles and book chapters in these areas, directs several security-related projects with Chinese partners, and advises the U.S. government on Asian security issues. He received his doctorate in government from Harvard University.



Abraham SOFAER

Senior Fellow, Hoover Institution, Stanford University, and former legal advisor to the U.S. State Department

Abraham D. Sofaer was appointed the first George P. Shultz Distinguished Scholar and Senior Fellow at the Hoover Institution in 1994. Named in honor of former US secretary of state George P. Shultz, the appointment is awarded to a senior scholar whose broad vision, knowledge, and skill will be brought to bear on the problems presented by a radically transformed global environment.

From 1985 to 1990, he served as a legal adviser to the US Department of State, where he resolved several interstate matters, including the dispute between Egypt and Israel over Taba, the claim against Iraq for its attack on the USS Stark, and the claims against Chile for the assassination of Orlando Letelier. He received the Distinguished Service Award in 1989, the highest state department award given to a non-civil servant.

Sofaer's work focuses on the power over war within the US government and on issues related to international law, terrorism, diplomacy, and national security. His most recent books are *Taking on Iran: Strength, Diplomacy, and the Iranian Threat* (Hoover Institution Press, 2013) and *The Best Defense?: Legitimacy and Preventive Force* (Hoover Institution Press, 2010).

A veteran of the US Air Force, Sofaer received an LLB degree from New York University School of Law in 1965, where he was editor in chief of the law review. He holds a BA in history from Yeshiva College (1962). Sofaer is a founding trustee of the National Museum of Jazz in Harlem and a member of the board of the Koret Foundation.



Biography

SHEN Dingli

Professor, Institute of International Studies, Fudan University, and one of China's foremost analysts of U.S. foreign and security policies

Shen Dingli is a professor and Associate Dean at Fudan University's Institute of International Studies. He is the founder and director of China's first non-government-based Program on Arms Control and Regional Security at Fudan University.

He has taught international security, China-U.S. relations, and China's foreign policy in China, the U.S., and the Semester at Sea program. His research areas cover China-U.S. security relationship, nuclear arms control and disarmament, nuclear weapons policy of the United States and China, regional nonproliferation issues concerning South Asia, Northeast Asia and Middle East, test ban, missile defense, export control, as well as China's foreign and defense policies.

He is Vice President of the Chinese Association of South Asian Studies, the Shanghai Association of International Studies, the Shanghai Association of American Studies, and the Shanghai UN Research Association. Shen received his Ph.D. in Physics from Fudan in 1989 and did his post-doc in arms control at Princeton University from 1989 to 1991. He was an Eisenhower Fellow in 1996, and in 2002 advised then UN Secretary General Kofi Annan on strategic planning of his second term. He is on the Global Council of Asia Society and was appointed by the Shanghai Municipality as Shanghai's Conference Ambassador. He has co-edited seventeen books and published some 2000 papers and articles worldwide.



Erin ENNIS

Senior Vice President, The US-China Business Council (USCBC)

Erin Ennis has been Senior Vice President of the US-China Business Council since February 2015, after serving as Vice President since 2005. In that position, she directs USCBC's government affairs and advocacy work for member companies and oversees USCBC's Business Advisory Services. She also leads a coalition of other trade associations on issues of interest to companies doing business with China. Founded in 1973, the US-China Business Council provides extensive China-focused information, advisory, and advocacy services, along with comprehensive events, to nearly 250 US corporations operating within the United States and throughout Asia.

Prior to joining USCBC, Ms. Ennis worked at Kissinger McLarty Associates, the international consulting firm headed by former Secretary of State Henry Kissinger and former White House Chief of Staff, Thomas "Mack" McLarty. At Kissinger McLarty, Ms. Ennis was responsible for implementing strategies for international business clients on proprietary trade matters, primarily in Vietnam and Japan.

Before entering the private sector, Ms. Ennis held several positions in the US Government. From 1992 to 1996, Ms. Ennis was a legislative aide to former U.S. Senator John Breaux, working on international trade and commerce. She also worked on health care issues during the Senate's consideration of President Bill Clinton's health care reform, an issue on which Senator Breaux actively worked to broker a compromise.

At the Office of the US Trade Representative from 1996 to 2000, Ms. Ennis first worked in Congressional Affairs on Asia issues, including annual approvals of China's most favored nation status and the ill-fated 1997 push to renew presidential "fast track" negotiating authority. Beginning in 1998, she was assistant to Deputy US Trade Representative Richard Fisher, who led US trade negotiations and enforcement with Asia, the Americas, and on intellectual property rights.

A native of Louisiana, Ms. Ennis has a BA from Mount Holyoke College in Massachusetts and a MA in International Affairs from Catholic University in Washington, DC. She is an active volunteer for the Mount Holyoke Alumnae Association and the Washington National Cathedral.



Biography

Moderator

Douglas H. PAAL

Distinguished Fellow,
Carnegie Endowment for International Peace and former Director at the
American Institute in Taiwan

Douglas H. Paal is a distinguished fellow at the Carnegie Endowment for International Peace. He previously served as vice chairman of JPMorgan Chase International (2006–2008) and was an unofficial U.S. representative to Taiwan as director of the American Institute in Taiwan (2002–2006). He was on the National Security Council staffs of Presidents Reagan and George H. W. Bush between 1986 and 1993 as director of Asian Affairs and then as senior director and special assistant to the president.

Paal was the President of the Asia Pacific Policy Center (APPC), a non-profit institution in Washington, D.C., which advocated bipartisan promotion of trade and investment, as well as defense and security ties across the Pacific. He has worked in the State Department with the Policy Planning Staff and as a senior analyst for the CIA. Doug also served in the U.S. Embassies in Singapore and Beijing. He studied Asian history at Brown and Harvard Universities and the Japanese language in Tokyo. Paal has spoken and published frequently on Asian affairs and national security issues.



Panel II: U.S-China Cooperation in a Global Context

David BALTON

Senior Fellow, Woodrow Wilson Center, and Former Deputy Assistant Secretary for
Oceans and Fisheries at the U.S. State Department

David A. Balton joined the Woodrow Wilson Center's Polar Initiative on January 1, 2018 as a Global Fellow. He previously served as the Deputy Assistant Secretary for Oceans and Fisheries in the Department of State's Bureau of Oceans, Environment and Science, attaining the rank of Ambassador in 2006. He was responsible for coordinating the development of U.S. foreign policy concerning oceans and fisheries, and overseeing U.S. participation in international organizations dealing with these issues. His portfolio included managing U.S. foreign policy issues relating to the Arctic and Antarctica.

Ambassador Balton functioned as the lead U.S. negotiator on a wide range of agreements in the field of oceans and fisheries and has chaired numerous international meetings. During the U.S. Chairmanship of the Arctic Council (2015-2017), he served as Chair of the Senior Arctic Officials. His prior Arctic Council experience included co-chairing the Arctic Council Task Forces that produced the 2011 Agreement on Cooperation on Aeronautical and Maritime Search and Rescue in the Arctic and the 2013 Agreement on Cooperation on Marine Oil Pollution Preparedness and Response in the Arctic. He has also chaired separate meetings on Arctic fisheries, including negotiations that produced the 2015 Declaration Concerning the Prevention of Unregulated High Seas Fishing in the Central Arctic Ocean.

Ambassador Balton received his A.B. from Harvard College in 1981 and his J.D. from Georgetown University Law Center in 1985. He has also appeared as a soloist with the National Symphony Orchestra in Washington, D.C.



Biography

WANG Zhenyao

Dean, China Philanthropy Research Institute, Beijing Normal University

Zhenyao Wang is currently the Dean for the Beijing Normal University One Foundation Philanthropy Research Institute. He also serves as the Professor and Doctoral Advisor for the university's School of Social Development and Public Policy. He has extensive experience in the government as well, serving as the General Director of the Department of Social Welfare and Charity Promotion and the Department of Disaster Relief. He has given professional presentations for the Hauser Center for Nonprofit Organizations at Harvard University, the China Song Ching-ling Foundation, the Chinese Cultural Exchange Association, and the Chinachem Charitable Foundation in Hong Kong.

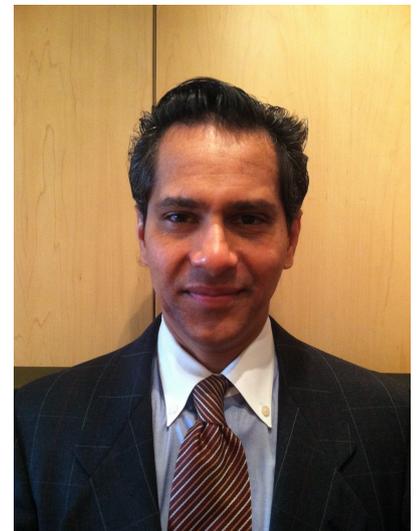
Wang received his BA in Nankai University, MPA at Harvard University, and Ph.D. in Law at Peking University. His research field includes social security policies and practices; social welfare; emergency management; social assistance etc.



Sourabh GUPTA

Resident Senior Fellow, Institute for China-America Studies

Sourabh Gupta is Resident Senior Fellow at the Institute for China-America Studies (ICAS). He is an Asia-Pacific international relations policy specialist with two decades of Washington, D.C.-based experience in a think-tank and political risk research and advisory capacity. His key area of expertise appertains to the intersection of international law – both, international trade and investment law and international maritime law (the Law of the Sea) - and international relations in the Asia-Pacific region. Other key areas of specialization include: analysis of developments in World Trade Organization and Asia-Pacific trade and economic regionalism; analysis of key flashpoints as well as outstanding boundary and territorial disputes in Asia; and analysis of major power relationships in the Asia-Pacific region (China-U.S., China-Japan, China-India, U.S.-Japan, U.S.-India, Japan-India relations). He holds master's degrees in international security studies and international relations from Georgetown University and Syracuse University, respectively. His bachelor's degree was awarded by the University of Mumbai. He is a member of the United States Council for Security Cooperation in the Asia-Pacific (USCSCAP)..



Biography



Moderator

Myron H. NORDQUIST

Associate Director, Center for Oceans Law and Policy, University of Virginia School of Law and Editor-in-Chief of the seven-volume United Nations Convention on the Law of the Sea 1982: A Commentary

Myron H. Nordquist earned his S.J.D. from the University of Virginia School of Law. He is currently the Associate Director and Editor of the Center for Oceans Law and Policy and a Senior Fellow for the Center for National Security Law. He has served as counsel to Senator Conrad Burns (R-MT). Nordquist was a professor of law at the United States Air Force Academy and was the Charles H. Stockton Professor of International Law at the United States Naval War College. He was Deputy and then Acting General Counsel of the Department of the Air Force.

After completing postgraduate work in international law at Cambridge University, Nordquist was an attorney advisor and legislative counsel in the Department of State Office of Legal Adviser. While serving in the Office of Legal Adviser, he was Office Director of the NSC Interagency Task Force on the Law of the Sea and Secretary of the United States Delegation to the Third United Nations Conference on the Law of the Sea. He engaged in private law practice in Washington, DC, specializing in international business law. He has taught on the adjunct law faculties at several universities.

Nordquist has edited more than 50 books and published widely on oceans law, international law and national security law. He is Editor-in-Chief of the seven-volume Commentary on the 1982 U.N. Convention on the Law of the Sea sponsored by the University of Virginia's Center for Oceans Law and Policy. As Associate Director of the Center for Oceans Law and Policy, Professor Nordquist has directed the substantive programs of the Center, including the Rhodes Academy.

Luncheon Speech



Susan THORNTON

Senior Fellow, Paul Tsai China Center, Yale Law School, and Former Assistant Secretary for East Asian and Pacific Affairs at the U.S. Department of State

Susan Thornton is a Senior Fellow at Yale Law School's Paul Tsai China Center, which she joined following a 28-year diplomatic career. She most recently served as Acting Assistant Secretary of State for East Asian and Pacific Affairs.

She assumed responsibility as Principal Deputy Assistant Secretary in February 2016, after serving for a year and a half as Deputy Assistant Secretary. A career-member of the United States Foreign Service, Thornton joined the State Department in 1991 and has spent the last twenty years working on U.S. policy in Eurasia, focused on the countries of the former Soviet Union and East Asia. Previous Foreign Service assignments include Deputy Chief of Mission to the U.S. Embassy in Turkmenistan., Deputy Director of the Office of Chinese and Mongolian Affairs at the State Department in Washington, Economic Unit Chief in the Office of Korean Affairs, and overseas postings in Beijing, Chengdu, Yerevan and Almaty.

Prior to joining the Foreign Service, Thornton worked at the Foreign Policy Institute in Washington, DC, where she researched and wrote about Soviet bureaucratic politics and contemporary Russia. She speaks Russian and Mandarin Chinese.

